DSP BLACKROCK
MUTUAL FUND

APPLICATION FORM

Please read Product labeling details available on cover page and instructions before filling this Form

Application No.:

Distributor/RIA name and ARN/Code	Sub Broker ARN & Name	Sub Broker/Branch/RM Internal Code	EUIN (Refer note below)	For Office use only
ARN-24216			E024572	

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

☐ I am a First Time Investor in Mutual Fund Industry. ☐ I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

1. FIRST APPLICANT'S DETAILS

Name of First Applicant (Should match with PAN/Aadhar Card) — **Date of Birth** (1st Appl / Minor) D D / M M / Y Y Y Y

Name of Guardian (if minor)/POA/Contact Person — **PAN** (1st Appl / Guardian) — **Date of Birth** (Guardian) D D / M M / Y Y Y Y

AADHAAR No. (1st Appl / Guardian) ☐ Attach copy (mandatory) — **CKYC - KIN**

PAN of POA ☐ **KYC** — **AADHAAR No. of POA** ☐ Attach copy (mandatory)

On behalf of minor:
Date of Birth Proof attached* ☐
Guardian named is:
☐ Father ☐ Mother ☐ Court Appointed

2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS (As per KYC records)

Email ID (in capital)

Mobile +91 — **Tel** (STD Code)

Address

Landmark

City — **Pin Code** (Mandatory) — **State**

Address Type (Mandatory)
☐ a. Residential & Business
☐ b. Residential
☐ c. Business
☐ d. Registered Office

3. KYC DETAILS (Mandatory)

3a. Status of Sole/1st Applicant (Please tick ✓) ○ Indian Resident Individual ○ Minor (Resident) ○ Minor (Repatriable) ○ Minor (Non Repatriable)
○ NRI (Repatriable) ○ NRI (Non-Repatriable) ○ PIO ○ Sole Proprietorship ○ HUF - Indian ○ HUF - NR ○ Partnership Firm ○ Limited Partnership (LLP) ○ Public Ltd. Co. ○ Private Ltd. Co.
○ Body Corporate ○ Bank ○ FIs ○ Insurance Companies ○ Government Body ○ AOP/BOI ○ Trust ○ Society ○ Provident Fund ○ Superannuation/Pension Fund ○ Gratuity Fund ○ Mutual Fund
○ FII ○ FPI-Category I/II/III ○ FCRA ○ GDN ○ Defence Establishment ○ NPS Trust ○ Others _____ (Please specify)

☞ Are you a Non-Profit Organization [NPO] or Company u/s 25 (Companies Act 1956) or u/s 8 of Companies, Act, 2013: ☐ Yes ☐ No

3b. Occupation Details (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business ○ Professional
○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others ..... (Please specify)

3c. Gross Annual Income (Please tick ✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore
Net-worth in (Mandatory for Non-Individuals) ₹ ..... as on D D / M M / Y Y Y Y (Not older than 1 year)

3d. For Individuals (Please tick ✓) ○ Not Applicable ○ I am Politically Exposed Person ○ I am Related to Politically Exposed Person

4. JOINT APPLICANTS (IF ANY) DETAILS

☞ **Mode of Holding** (Please tick ✓) ☐ Joint (Default) ☐ Anyone or Survivor

2nd Applicant (Should match with PAN/Aadhar Card) — **Date of Birth** D D / M M / Y Y Y Y

PAN — **AADHAR NO.** ☐ Attach copy (mandatory) — **CKYC - KIN**

a. Occupation Details (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business ○ Professional
○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others ..... (Please specify)
b. Gross Annual Income (Please tick ✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore
c. Others (Please tick ✓) ○ Not Applicable ○ Politically Exposed Person (PEP) ○ Related to a Politically Exposed Person (PEP)

3rd Applicant (Should match with PAN/Aadhar Card) — **Date of Birth** D D / M M / Y Y Y Y

PAN — **AADHAR NO.** ☐ Attach copy (mandatory) — **CKYC - KIN**

a. Occupation Details (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business ○ Professional
○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others ..... (Please specify)
b. Gross Annual Income (Please tick ✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore
c. Others (Please tick ✓) ○ Not Applicable ○ Politically Exposed Person (PEP) ○ Related to a Politically Exposed Person (PEP)

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

DSP BLACKROCK MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentionedin the application form.
From _____

Scheme	Cheque no.	Amount
DSPBR		

Application No.

ARN 24216 E024572

5. FATCA and CRS DETAILS

Sole/First Applicant/Guardian			2nd Applicant			☐ 3rd Applicant ☐ POA		
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY
Nationality ☐ Indian ☐ U.S. ☐ Other			Nationality ☐ Indian ☐ U.S. ☐ Other			Nationality ☐ Indian ☐ U.S. ☐ Other		

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.
*If TIN is not available or mentioned, please mention reason as: 'A' if the country does not issue TINs to its residents; 'B' & mention why you are unable to obtain a TIN; 'C' if the authorities of the country of tax residence entered above do not require the TIN to be disclosed.

Country #	Tax Identification Number	Identification Type/Reason*	Country #	Tax Identification Number	Identification Type/Reason*	Country #	Tax Identification Number	Identification Type/Reason*
1			1			1		
2			2			2		
3			3			3		

6. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)

Bank Name

Bank A/C No. **A/C Type** ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

Branch Address

City Pin

IFSC code: (11 digit) **MICR code** (9 digit) (This is a 9 digit number next to your cheque number)

7. INVESTMENT AND PAYMENT DETAILS (Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

Cheque/DD should be in favour of: "DSP BlackRock Mutual Fund" if single cheque with multiple schemes OR "Scheme Name", in case of single scheme / scheme wise cheques.

☐ **One time Lumpsum Investment** ☐ **SIP: Systematic Investment Plan.** ☞ **Attach OTM form, if not already registered.** **Mention First SIP Cheque Details below and in SIP form.**

Full Scheme/Plan/Option/Sub Option	Amount (₹)
1. DSPBR - Scheme Plan Option/Sub Option	
2. DSPBR - Scheme Plan Option/Sub Option	
3. DSPBR - Scheme Plan Option/Sub Option	
Total Amount in words	Amount in Figures

Payment Mode: ☐Cheque ☐DD ☐RTGS ☐NEFT ☐Funds transfer

Cheque/DD/RTGS/NEFT Details:

Ref. No.

Date D D / M M / Y Y Y Y

DD charges, if any

Payment from Bank A/c No. Pay In A/c No. **A/c. Type** ☐Savings ☐Current ☐NRE ☐NRO ☐FCNR ☐Others

Bank Name & Branch

Documents Attached to avoid Third Party Payment Rejection, where applicable: ☐Bank Certificate, for DD ☐Third Party Declarations

8. NOMINATION DETAILS

☞ ☐ I/We wish to nominate. ☐ I/We DO NOT wish to nominate and sign here ..... 1st Applicant Signature (Mandatory)

	Nominee Name	Relationship with applicant	Guardian Name (In case of Minor)	Allocation %	Nominee/ Guardian Signature
Nominee 1					
Nominee 2					
Nominee 3					
Address				Total = 100%	

9. UNIT HOLDING OPTION:

☐ In **Account Statement Mode** (default):

☐ In **Demat mode:** NSDL: I N **Depository Participant** (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

CDSL:

Enclose for demat option:
☐ Client Master List
☐ Transaction/Holding Statement
☐ DIS Copy

10. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund form time to time, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme/Plan/Option and agree to abide by the terms and conditions, rules and regulations. I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form is true, correct, and complete. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I hereby provide my consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I hereby provide my consent for sharing/disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered intermediaries, their Registrar and Transfer Agents (RTA)/Service Providers for the purpose of updating the same in all my/our folios.

Sole / First Applicant / Guardian	Second Applicant	Third Applicant	POA holder, if any

Email: service@dspblackrock.com **Website: www.dspblackrock.com** **Contact Centre: 1800 200 4499**

Quick Checklist ☞

- ☐ Name, Address are correctly mentioned
- ☐ Email ID / Mobile number are mentioned
- ☐ KYC information provided for each applicant
- ☐ FATCA/CRS details provided for each applicant
- ☐ Aadhaar No. and copy is attached for each applicant
- ☐ Full scheme name, plan, option is mentioned
- ☐ Pay-In bank details and supportings are attached
- ☐ Nomination facility opted
- ☐ Form is signed by all applicants
- ☐ Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
- ☐ Non Individual investors should attach
  - ☐ FATCA Details and Declaration Form
  - ☐ UBO Declaration Form